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ANNEX D

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UNITED NATIONS DISARMAMENT COMMISSION

SUB-COMMITTEE OF THE DISARMAMENT COMMISSION
CANADA, FRANCE, THE UNITED KINGDOM AND THE UNITED STATES OF AMERICA

Working Paper: Proposals for Partial Measures of Disarmament

I. The Limitation and Reduction of Armed Forces and Armaments

A. Within one year from the entry into force of the convention, the following States will restrict or reduce their armed forces respectively to the maximum limits indicated below:

France - 750,000
United Kingdom - 750,000
Soviet Union - 2,500,000
United States - 2,500,000

The definition of the armed forces will be annexed to the convention.

- B. During this same period, these States will place in storage depots, within their own territories, and under the supervision of an International Control Organization, specific quantities of designated types of armaments to be agreed upon and set forth in lists annexed to the convention.
- C. The relation of other States to the convention, including the agreed levels of their armed forces, will be determined later.
- D. The States listed in paragraph I-A will be prepared to negotiate on a further limitation of their armed forces and armaments upon condition that:
 - 1. Compliance with the provisions of the convention has been verified to their satisfaction.
 - 2. There has been progress toward the solution of political issues.
 - 3. Other essential States have become parties to the convention and have accepted levels for their armed forces and armaments, fixed in relation to the limits set out in paragraphs A and B above.
- E. Upon the conditions cited above, negotiations could be undertaken by France, the Soviet Union, the United Kingdom and the United States on a further limitation of their armed forces which would involve agreed reductions for the United States and the Soviet Union to not less than 2.1 million men each. The agreed level of forces for France and the United Kingdom, corresponding to this figure, would be 700,000 men each. The levels of other essential States would be specified at the same time through negotiation with them. State Dept. declassification & release instructions on file

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- F. Thereafter, and subject to the same conditions, negotiations could be undertaken on further limitations to not less than 1.7 million men each for the United States and the Soviet Union. The agreed level corresponding to this figure for France and the United Kingdom would be 650,000 men each. The levels of other essential States would be specified at the same time through negotiation with them.
- G. Upon the conditions cited in D above, these States will also be prepared to negotiate on further limitations of armaments. The calculation of any such armament limitations will be in agreed relation to the armed forces determined in paragraphs E and F above and will be completed prior to the application of the further limitations in armed forces. The parties must be satisfied before such further limitations of armaments are undertaken and at all times thereafter that the armaments at the disposal of any party to the convention do not exceed the quantities thus allowed in each category.
- H. No measures for the reduction and limitation of armed forces and armaments beyond those provided for in paragraph A and B above will be put into effect until the system of control is appropriately expanded and is able to verify such measures.

II. Military Expenditure

In order to assist in verifying compliance with the provisions of paragraph I, and looking forward to the reduction of military expenditures, France, the Soviet Union, the United Kingdom and the United States agree to make available to the International Control Organization information about their military budgets and expenditures for the year preceding entry of the convention into force and for each year thereafter. The categories of information to be supplied will be agreed in advance and annexed to the convention.

III. Nuclear Weapons

Each party assumes an obligation not to use nuclear weapons if an armed attack has not placed the party in a situation of individual or collective self-defence.

IV. The Control of Fissionable Material

- A. The parties to the convention further undertake:
- 1. That all future production of fissionable materials will be used at home or abroad, under international supervision, exclusively for non-weapons purposes, including stockpiling, beginning one month after the International Board of Control described in paragraph VIII has certified that the installation of an effective inspection system to verify the commitment has been completed.

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- 2. That they will co-operate in the prompt installation and in the maintenance of such an inspection system.
- 3. That for the purpose of accomplishing the above undertakings, the five Governments represented on the Sub-Committee will appoint a group of technical experts to meet as soon as possible to design the required inspection system, and to submit a progress report for their approval within the first ten months after the entry into force of the convention.
- B. The parties which are producers of fissionable material for weapons purposes at the time of cessation of production for weapons purposes undertake to provide, under international supervision, for equitable transfers, in successive increments, of fissionable materials from previous production to non-weapons purposes, at home or abroad, including stockpiling; and, in this connexion
 - 1. To fix the specific ratios of quantities of fissionable materials of comparable analysis to be transferred by each of them, and
 - 2. To commence such transfers at agreed dates and in agreed quantities at the fixed ratios following the cut-off date for production of fissionable materials for weapons purposes.
- C. From the date of the cessation of production of fissionable material for weapons purposes provided in paragraph IV-A-1:
 - 1. Each party undertakes not to transfer out of its control any nuclear weapons, or to accept transfer to it of such weapons, except where, under arrangements between transferor and transferee, their use will be in conformity with paragraph III.
 - 2. Each party undertakes not otherwise to transfer out of its control any fissionable material or to accept transfer to it of such material, except for non-weapons purposes.

V. Nuclear Weapons Testing

- A. All parties to the convention undertake to refrain from conducting nuclear test explosions for a period of twelve months from the date of entry into force of the convention, provided that agreement has been reached on the installation and maintenance of the necessary controls, including inspection posts with scientific instruments, located within the territories of the Soviet Union, the United Kingdom, the United States, the area of the Pacific Ocean and at such other places as may be necessary, with the consent of the Governments concerned.
- B. A group of technical experts appointed by the five Governments represented on the Sub-Committee will meet as soon as possible to design the inspection system to verify the suspension of testing.

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- C. Upon termination of the twelve months period, the parties will be free to conduct tests unless they have agreed to continue the suspension for a further period under effective international inspection.
- D. If the inspection system referred to in paragraph V-A is operating to the satisfaction of each party concerned and if progress satisfactory to each party concerned is being achieved in the preparation of an inspection system for the cessation of the production of fissionable material for weapons purposes agreed to under paragraph IV-A-1 above, all parties to the convention undertake to refrain from conducting nuclear test explosions for a further period of twelve months. Such an extension will be made only with the understanding that testing may at the discretion of each party be conducted twenty-four months after the entry into force of the convention if the inspection system for the cessation of production for weapons purposes has not been installed to the satisfaction of each party concerned before the end of the twenty-four months and if the cessation of production for weapons purposes has not been put into effect.
- E. If tests are resumed, each party undertakes to announce and register in advance the dates of each series and the range of total energy to be released therein; to provide for limited observation of them; and to limit the amount of radioactive material to be released into the atmosphere.

VI. The Control of Objects Entering Outer Space

All parties to the convention agree that within three months after the entry into effect of the convention they will co-operate in the establishment of a technical committee to study the design of an inspection system which would make it possible to assure that the sending of objects through outer space will be exclusively for peaceful and scientific purposes.

VII. Safeguards Against the Possibility of Surprise Attack

- A. From the entry into force of the convention the parties concerned will co-operate in the establishment and maintenance of systems of inspection to safeguard against the possibility of surprise attack.
- B. The establishment of such systems will be subject to agreement on the details of its installation, maintenance and operation. It is proposed as a matter of urgency that a working group of experts appointed by the five Governments represented on the Sub-Committee be set up at once to examine the technical problems and to report their conclusions which could form the basis for an annex to the agreement.
- C. With regard to inspection in the Western Hemisphere and in the Soviet Union, the Governments of Canada, France, the United Kingdom and the United States propose the following:

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- 1. That all the territory of the continental United States, all Alaska including the Aleutian Islands, all the territory of Canada and all the territory of the Soviet Union will be open to inspection.
- 2. If the Government of the Soviet Union rejects this broad proposal, to which is related the proposal for inspection in Europe, referred to in paragraph D below, the Governments of Canada, France, the United Kingdom, and the United States (with the consent of the Governments of Denmark and Norway) propose that:
 - All the territory north of the Arctic Circle of the Soviet Union, Canada, the United States (Alaska), Denmark (Greenland), and Norway; all the territory of Canada, the United States and the Soviet Union west of 140 degrees West longitude, east of 160 degrees East longitude and north of 50 degrees North latitude; all the remainder of Alaska; all the remainder of the Kamchatka peninsula; and all of the Aleutian and Kurile Islands will be open to inspection.
- D. With regard to inspection in Europe, provided there is commitment on the part of the Soviet Union to one of the two foregoing proposals, the Governments of Canada, France, the United Kingdom and the United States, with the concurrence in principle of their European allies and in continuing consultation with them, subject to the indispensable consent of the countries concerned and to any mutually agreed exceptions, propose that an area including all of Europe, bounded in the south by latitude 40 degrees North and in the west by 10 degrees West longitude and in the east by 60 degrees East longitude will be open to inspection.
- E. If the Government of the Soviet Union rejects this broad proposal, then, under the same proviso expressed above, a more limited zone of inspection in Europe could be discussed but only on the understanding that this would include a significant part of the territory of the Soviet Union, as well as the other countries of Eastern Europe.
- F. The system of inspection to guard against surprise attack will include in all cases aerial inspection, with ground observation posts at principal ports, railway junctions, main highways, and important airfields, etc., as agreed. There would also, as agreed, be mobile ground teams with specifically defined authority.
- G. Ground posts may be established by agreement at points in the territories of the States concerned without being restricted to the limits of the zones described in paragraphs C-1 and -2, but the areas open to ground inspection will not be less than the areas of aerial inspection. The mobility of ground inspection would be specifically defined in the agreement with in all cases the concurrence of the countries directly concerned. There would also be all necessary means of communication.
- H. Within three months of the entry into force of the convention, the parties will provide to the Board of Control inventories of their fixed military installations, and numbers and locations of their military forces and designated armaments, including the means of delivering nuclear weapons located within an agreed inspection zone or zones, and within such additional area or areas as may be agreed.

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I. Any initial system of inspection designed to safeguard against the possibility of surprise attack may be extended by agreement of all concerned to the end that ultimately the system will deal with the danger of surprise attack from anywhere.

VIII. The International Control Organization

- A. All the obligations contained in the convention will be conditional upon the continued operation of an effective international control and inspection system to verify compliance with its terms by all parties.
- B. All the control and inspection services described in the convention and those which may be created in the course of its implementation will be within the framework of an International Control Organization established under the aegis of the Security Council, which will include, as its executive organ, a Board of Control in which the affirmative vote of the representatives of the Governments represented on the Sub-Committee and of such other parties as may be agreed will be required for important decisions.
- C. All parties to the convention undertake to make available information freely and currently to the Board of Control to assist it in verifying compliance with the obligations of the convention and in categories which will be set forth in an annex to it.
- D. The functions of the International Control Organization will be expanded by agreement between the parties concerned as the measures provided for in the convention are progressively applied.
- E. Other matters relating to the Organization will be defined in annexes to the convention. These matters will include the duties which the Organization is to carry out, the method by which it shall function, its composition, its relationship to the General Assembly and the Security Council of the United Nations, its voting procedures, its working conditions, jurisdiction, immunities, and prerogatives.

IX. Movement of Armaments

In addition to other rights and responsibilities, the Board of Control will have authority to study a system for regulating the export and import of designated armaments.

X. Suspension of the Convention

A. Each party will have the right to suspend its obligations, partially or completely, by written notice to the International Control Organization, in the event of an important violation by another party, or other action by any state which so prejudices the security of the notifying party as to require partial or complete suspension.

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- B. At its option a party may give advance notice of intention to suspend its obligations, in order to afford opportunity for correction of the violations or prejudicial action.
- XI. This working paper is offered for negotiation on the understanding that its provisions are inseparable. Failure to fulfill any of the provisions of the convention would create a situation calling for examination at the request of any party.